ORDINANCE NO. V-129A

ORDINANCE RELATING TO THE AWARD OF THE DEGREE OF DOCTOR OF SCIENCE (D. Sc.), DOCTOR OF LETTERS (D. Litt.) AND DOCTOR OF LAWS (LL. D.)

I. INTRODUCTION

I.1 This Ordinances shall be called the Ordinance relating to the Award of Degree of Doctor of Science (D. Sc.), Doctor of Letters (D. Litt.) and Doctor of Laws (LL. D.)

I.2 This Ordinances shall be in effect from the date of approval of the Executive Council.

I.3 The degree of D. Sc./ D. Litt./ LL. D. of the Swami Vivekanand Subharti University shall be conferred on the candidates who fulfill the requirements as specified in this ordinance.

II. ELIGIBILITY

II.1. A candidate seeking admission to D. Sc./ D. Litt./ LL. D. programme of the University, must have pursued outstanding research in the concerned discipline and obtained the minimum qualifications required for admission as mentioned below. The candidate

(a) must have obtained a Ph. D. or an equivalent degree from this University, in the concerned discipline, at least 4 (four) academic years prior to the date of application,

OR

(b) must have obtained a Ph. D. or an equivalent degree from any other recognized university or from a foreign university of standing, in the concerned discipline, at least 4 (four) academic years prior to the date of application and a Master's and/or a Bachelor's degree from this University,

OR

(c) must be a permanent teacher of the this University, who has put in a minimum of 4 (four) years of service in that capacity, in this University, prior to the date of application, and has obtained a Ph. D. or an equivalent degree from this or from any other recognized university or from a foreign university of standing, in the concerned discipline.

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III. APPLICATION

III.1 A candidate, who is seeking admission to D. Sc./ D. Litt./ LL. D. programme and who is eligible for admission in accordance with Clause II of these Ordinances, shall apply to the Dean Academics & Director Research by submitting the following:

(a) His/ her bio-data giving the details of educational qualifications, fields of specialization, research experience, academic distinctions, etc., along with a passport size photograph.

(b) Title of the thesis.

(c) A brief account of his/ her recent research work, in about 1000 words on the subject relevant to the discipline in which he/ she has applied for admission to D. Sc./ D. Litt./ LL. D. programme, showing how far his/ her work is original and is contributory to the advancement of knowledge.

(d) List of publications.

(e) Attested copies of certificates in support of qualifications and experience.

(f) A certificate from two persons each of whom is either a member of the Academic Council of this University or a graduate of D. Sc./ D. Litt./ LL. D. of this University to the effect that he/ she is by habits and character a fit and a proper person to be admitted to the degree.

III.2 The last date for submission of the application form shall be 31st July in an academic year.

III.3 The Dean Academics & Director Research / Controller of Examinations shall send the application of the candidate to the concerned Faculty/ Institute within a week after the last date of the submission of application.

IV. RESEARCH COMMITTEE

IV.1 Subject to the general superintendence of the Academic Council, a committee, namely, the Department Research Committee (DRC) shall deal with all matters connected with the D. Sc./ D. Litt./ LL. D programme of the University in accordance with these Ordinances. However, the degree shall be formally approved only by a Research Degree Committee, whose constitution is similar to that of Research Degree Committee for the Ph. D. degree, except for some minor changes as mentioned hereunder.

IV.2 The constitution of the Research Degree Committee shall be as follows:

1.	Vice-Chancellor	Chairman
2.	Dean Academics	Member
3.	Dean / Principal /HOI of the concerned Faculty (in the case where the faculty is associated with an institute /college)	Member
4.	Dean of the faculty	Member
5.	The Heads of the Departments and the Coordinators of the Schools of the concerned faculty	Members
6.	Two expert members of the DRC out of which one is the Advisor of the concerned candidate	Members
7.	Registrar	Secretary

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IV.3 The constitution of the DRC shall be as follows:

1	Dean/Principal / Director of the Institute (in the case where the faculty is associated with an institute)	Chairman
2	Head of the Department	Member
3	Two experts from the concerned faculty, nominated by the concerned Head of the Department/ Coordinator of the School with the approval of the Dean / Principal , out of which one will act as the Advisor of the concerned candidate	Members
4	Concerned Head of the Department/ Coordinator	Secretary
Note: In case where the faculty is not associated with a College / Institute, the Dean shall be the chairman of the DRC.		

V. ADMISSION

V.1 The DRC shall scrutinize the applications of the candidates and shall recommend the eligible candidates for admission, to the Academic section (Assistant Registrar-Research) of the University. The FRC shall also send the list of the names of the Advisors of the candidates to the Academic section for communicating to the concerned candidates.

V.2 The Assistant Registrar (Research) shall issue the letter of admission to each candidate recommended by the DRC.

V.3 Within one month after the receipt of the letter of admission, the candidate shall pay the prescribed fee as notified in Admission Brouchure / as decided by University time to time and shall get registered in the concerned Department by filling a registration form.

VI. SUBMISSION OF THE THESIS

VI.1 A candidate, admitted to D. Sc./ D. Litt./ LL. D. programme in accordance with Clause V of these Ordinances, shall deliver a pre-submission seminar in the Department before the submission of the thesis, which shall be arranged by the Advisor of the candidate to apprise the teachers and other research workers of the Department/School of his/ her work.

VI.2 The candidate, within one year from the date of his/ her admission, shall submit the thesis to the Assistant Registrar (Research) approved by the concerned DRC, and duly forwarded by its Chairman.

VI.3 The candidate shall submit the thesis as per the following guidelines:

(a) Five copies of the thesis in hardbound form as per the format given in *Annexure – A.*

(b) The title page of the thesis shall contain a statement that the thesis has been submitted for the award of the concerned degree for which the candidate has been admitted.

(c) A soft copy in CD of the Extended Abstract of the thesis mentioned in Annexure – A.

(d) A declaration by the candidate that the thesis has not been submitted for any other degree or diploma, as per the format given in Annexure – B.

(e) A certificate from the Advisor, Head of the Department/Coordinator of the School and the Chairman of the DRC that the thesis has been submitted for the award of the concerned degree of the University, as per the format given in *Annexure – C.*

(f) The thesis shall be either in English or in Hindi except for the case where the subject of the thesis itself is a language. In such a case, the thesis may, at the option of the candidate, be in that specific language.

VI.4 The work of the candidate shall comply with the following conditions to merit the award of the degree:

It must be a substantial work making a distinct addition to learning in the concerned subject of the discipline.

It must be original in the sense of opening up new fields of research, or of making a marked advancement on the results of previous investigations, or of giving a new interpretation of the facts already known.

It must be a scholarly work of high quality.

It must be the work done during the last five years before the submission of the thesis.

It must be the work published in reputed journals in the form of research papers and/ or published in the form of books/ monographs, chapter contribution to books/ monographs, etc., out of which at least two must be authored solely by the candidate.

It must not be the work, which has been previously submitted for a degree or a diploma in this or in any other University.

VII. EXAMINATION

VII.2 Panel of Examiners:

While forwarding the thesis of the candidate to the Academic Section of the University, the DRC shall recommend a panel of examiners of four to six (two/ three from India but outside Meerut and two/ three from abroad) experts in the concerned area of the work submitted.

VII.3 Board of Examiners:

On receipt of the panel of the examiners, the Academic Section shall forward the same to the Controller of Examinations, who in turn shall submit it to the Vice-Chancellor for the appointment of the Board of Examiners from the panel. The Board of Examiners shall consist of two members, out of which one shall be from abroad.

VII.4 Evaluation of Thesis:

(a) The controller of examinations shall get in touch with each examiner to secure acceptance of the examinership. For this purpose, if e-mail address of the examiner is available, he/ she shall be contacted through e-mail and the soft copy of the Extended Abstract of the thesis may be sent to him/ her, to get his/ her consent at the earliest. If however, no information is received from an examiner within a reasonable time, his/ her appointment shall be cancelled and a new examiner shall be appointed from the existing panel of

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examiners in accordance with Clause VII.3.

(b) On receipt of the acceptance from an examiner, the Controller of Examinations shall forward the copy of the thesis to him/ her, along with a copy of the regulations relating to the award of the D. Sc./ D. Litt./ LL. D. degree of this University and take necessary action to get the report of the examiner expeditiously.

(c) The examiners shall be requested to submit their individual reports within two months of the receipt of the thesis.

(d) In case, an examiner does not send his/her report within the above period, a reminder shall be sent to his/her. This shall be followed by a subsequent reminder after a fortnight.

(e) In the event of the report not being received from the examiner within 12 weeks, his/her examinership shall be cancelled and a new examiner shall be appointed, from the existing panel of examiners, as per Clause VII.3.

(f) The examiners shall examine the thesis specifically with a view to judge whether the work is in accordance with Clause VI.4.

(g) The examiners shall give explicit reports with any one of the following recommendations

(i) the thesis be accepted for the award of D. Sc./ D. Litt./ LL. D. degree

(ii) the thesis be rejected

(iii) The thesis be submitted in a revised form after adding some more work to the already submitted work.

(h) The examiner shall give specific and unambiguous reasons for his/ her recommendations. If the thesis is recommended for revision, the examiner may suggest points for improvement of the presented work.

(i) If the thesis is recommended for revision, the candidate shall be required to submit the revised thesis not earlier than six months and not later than two years, from the date of communication of the report to him/ her by the University. The candidate shall be required to remit only the Examination fee for submitting the revised thesis.

(j) If the thesis has been recommended for revision, a fresh appointment of examiners in accordance with Clause VII.3 shall be made from the existing panel of examiners. If the need be, a fresh panel of examiners may be recommended by the DRC. The other procedures as per the Clauses VII.4 (a) to (f) shall be followed for the evaluation of the thesis. However, the Controller of Examinations, along with the revised thesis, shall send the copy (copies) of the recommendation(s) of the examiner(s) who recommended the revision of the thesis.

(k) The examiners who evaluate the revised thesis shall recommend only either the acceptance or the rejection of the thesis and shall not recommend any further revision of the thesis.

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VII.5 Award of the Degree

(a) The reports of all the examiners shall be placed before the concerned DRC. If all the reports are unanimous, recommending the thesis to be accepted for the award of the degree, and if the DRC considers the case to be fit and proper, it shall recommend it to the Research Degree Committee for the award of the degree.

(b) Even if one examiner recommends the rejection of the thesis, the thesis shall be rejected.

(c) If the thesis is rejected, the candidate shall not be allowed to apply again for admission within a period of 4 (four) years.

(d) After the Research Degree Committee approves the thesis for the award of the degree, the candidate concerned may be given the examiners' reports for which he/ she shall apply separately.

(e) The year of award of the degree shall be the year of submission of the thesis provided the thesis is accepted without revision. In case of revision, the year of award of the degree shall be the year of submission of the revised thesis.

(f) The degree certificate shall mention the title of the thesis and the name of the concerned Department/ School in which the candidate was admitted.

(g) The Extended Abstract of the approved thesis for the award of the degree shall be published in the "Abstracts of Accepted Thesis for the Doctor of Science, Doctor of Letters and Doctor of Laws Degree" of the Swami Vivekanand Subharti University, Meerut.

(h) The Academic Section shall send one copy of the thesis duly approved for the award of the degree, for preserving in the Central Library of the University and the other in the departmental/ faculty library.

VIII. CONCLUSION

VIII.1 Notwithstanding anything contained in these Ordinances, all matters related to the candidates shall be governed by the rules and procedures framed by the Academic Council that are in force at that point of time.

VIII.2 From the date when these Ordinances come into operation, all previous Ordinances on the subject shall cease to have effect.

Provided that this revocation shall not affect the previous Ordinances so revoked or anything done or suffered under any previous Ordinances so revoked or affect any right, privilege, obligation or liability acquired, arrived or incurred under any Ordinances so revoked.

VIII.3 Any doubt or dispute about the interpretation of these Ordinances shall be referred to the Vice-Chancellor, whose decision, in his capacity as the Chairman, Academic Council, shall be final.

The Vice-Chancellor may modify, amend and/ or delete any of the clauses given in these Ordinances or add any clause(s) to these Ordinances, to facilitate the pursuit of excellence in research, provided that any such modification, amendment, deletion, and addition shall be reported to the Academic Council at its next meeting for approval.

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SWAMI VIVEKANAND SUBHARTI UNIVERSITY
Registrar
MEERUT

ANNEXURE – A

(see Clause VI.3 (a), (c))

FORMAT OF THE THESIS

The following format may be normally adopted for the D. Sc./ D. Litt./ LL. D. thesis

1. Cover page.
2. Inner cover page.
3. Declaration by the candidate as per the format given in *Annexure – B*, to the effect that the work has not been submitted for any other degree or diploma.
4. Certificate from the Advisor, Head of the Department/ Coordinator of the Faculty/ School and Chairman of the DRC as per the format given in *Annexure – C*.
5. Contents.
6. An Extended Abstract of about 2000 words, describing the research work carried out during the last 5 (five) years (before the date of submission), on the subject relevant to the discipline in which the candidate has applied for the degree, explaining how far the work is original, exemplary and is contributive to the advancement of knowledge. It shall also summarize the relevance of the publications to the specific subject of the thesis being submitted.
7. Reprints of the published work by the candidate, in the relevant subject, in the form of research papers, abstracts of books/ monographs, chapter contribution to books/ monographs/ citations of candidate's work by others.
8. List of publications.
9. A personal profile of the candidate with photograph, not exceeding one page.

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ANNEXURE – B

(see Clause VI.3 (d))

CANDIDATE'S DECLARATION

I, ..., declare that this thesis, entitled "....."
(Name of the Candidate) (Title of the thesis)

submitted for the award of the degree of ..... of this University, has
(Name of the Degree)

not been submitted earlier for the award of any degree or diploma of this or any other University.

Date: .....

(Signature of the candidate)

Place: Meerut

CANDIDATE'S DECLARATION

2000/-
13.04

ANNEXURE – C

(see Clause VI.3 (e))

CERTIFICATE

This is to certify that this thesis entitled "……" has been
(Title of the thesis)

submitted by …….. for the award of the
(Name of the Candidate)

degree of…….. of Swami Vivekanand Subharti University, Meerut.
(Name of the Degree)

……..
(Signature of the Advisor)

……..
(Name & Designation)

……..
(Signature of the Head of the Department/
Coordinator of the School)

(Signature of the Chairman of the DRC)

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