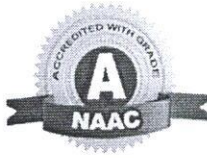




SWAMI VIVEKANAND
SUBHARTI
UNIVERSITY
UGC Approved Meerut



AN ISO 21001: 2018 ORGANIZATION

OFFICE OF THE REGISTRAR

Gp Capt M Yakoob

M-in-D (Retd.), M.Tech.

REGISTRAR

registrar@subharti.org

Ref. No.: U-07/SVSU/2025/478

Dated: 03.05.2025

Subject: Subharti Research and Incubation Centre (SRIC) Guidelines and Policy (Grants and Seed Money)

I am directed to convey that the Hon'ble Vice-Chancellor has approved the Subharti Research & Incubation Centre (SRIC) Guidelines and Policy (Grants and Seed Money). A copy of the Guidelines and Policy is attached herewith for your reference.

You are requested to circulate this information to all concerned to the guidelines outlined in the Guidelines and Policy.

This communication is issued with the approval of the Hon'ble Vice-Chancellor.


03.05.2025
Gp Capt M Yakoob
Registrar

Enclosure: As above

To:

- All Heads of Institutions / Principals of Colleges / Section Heads

Copy forwarded for information to:

1. Hon'ble Vice-Chancellor
2. Chief Executive Officer
3. Executive Officer
4. Guard File


03.05.2025
Registrar



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Subharti Research and Incubation Centre (SRIC)

Guidelines and Policy

(Grants and Seed Money)

1. Introduction

The Subharti Research & Incubation Centre (SRIC) is established to foster a culture of creativity, research excellence, and entrepreneurial thinking. It serves as a platform for students, faculty, researchers, and innovators from within the university and from external institutions to collaborate, innovate, and incubate transformative ideas.

2. Purpose

The purpose of the SRIC is to:

- Encourage multidisciplinary research and innovation.
- Promote start-up culture and entrepreneurship.
- Facilitate translation of ideas and research into viable products, technologies, and services.
- Foster industry-academia collaboration.
- Support socio-economic development through innovation.

3. Scope

This policy applies to:

- Swami Vivekanand Subharti University students (undergraduate, postgraduate, doctoral).
- Swami Vivekanand Subharti University faculty and staff.
- Researchers affiliated with external universities, research institutions, industry, start-ups, or independent innovators.
- Collaborators from governmental and non-governmental organizations.

4. Objectives

- To provide infrastructure, mentoring, technical, and business support for research and start-up initiatives.

- To create opportunities for interdisciplinary collaborations.
- To support proof-of-concept development, prototyping, and commercialization.
- To protect and manage intellectual property rights (IPR) arising from research and innovation.
- To attract funding and investment for research and innovation activities.
- To create spin-offs and start-ups based on research outcomes.

5. Participation Eligibility

To qualify for project grants & Seed money participants must:

- Be currently enrolled students, employed faculty/staff of SVSU or registered external applicants.
- Submit a proposal aligned with the Centre's focus areas (science, technology, engineering, arts, agriculture, healthcare, environment, social innovation, UNSDG etc.).
- Agree to abide by the Centre's code of conduct, confidentiality norms, and intellectual property regulations.

External applicants must have:

- A collaboration agreement with a University department or project proposal approved by the SRIC Advisory Committee.

6. Application and Utilization

- Participants must apply formally for funding support by providing detailed project proposals, budgets, and expected outcomes.
- Funding applications will be screened and recommended by a Funding Evaluation Committee constituted by the Board of Director of the Coy (SRIC).
- Interviews or presentations may be conducted for final selection.
- Successful applicants will sign an Agreement outlining the rights, obligations, and company's policies.
- All funding received must be used strictly for the approved purpose and in accordance with donor stipulations.

- Fund utilization must be documented properly with quarterly financial and project reports submitted to SRIC.
- Approval decision will be communicated within seven days from the date of approval of the Board of Directors of the SRIC.
- Separate accounts may be maintained by Accounts Department for large grants to ensure transparency and ease of audit.

7. Facilities and Support

SRIC will provide:

- Access to laboratories, co-working spaces, meeting rooms, and technical infrastructure.
- Mentorship from industry experts, faculty advisors, and entrepreneurs.
- Assistance in project development, prototyping, patent filing, and business plan creation.
- Opportunities to participate in seminars, workshops, hackathons, and accelerator programs.
- Linkages with investors, industry partners, and funding agencies.

8. Funding and Financial Assistance

- Seed funding or grants may be available subject to merit and availability.
- The project incharge will be required to execute an agreement with the company (SRIC) for the project & seed money.
- Fund will be disbursed in instalments as per approval based on project milestones.
- Participants are encouraged to apply for government or private grants.
- Revenue-sharing models may apply to innovations or businesses incubated at SRIC (specific terms will be project-dependent).

9. Funding from Trusts, Government, and Non-Government Organizations

9.1 Overview

The SRIC shall actively seek and facilitate funding opportunities for research and innovation projects through:

- University Trusts and Foundations
- Government funding schemes and grants
- Non-Governmental Organizations (NGOs), corporate CSR funds, and philanthropic bodies
- International funding agencies and collaborations

The aim is to provide financial assistance for high-potential research projects, prototypes, start-ups, and commercialization activities, thus enhancing the ecosystem's sustainability and impact.

9.2 Sources of Funding

Funding may be sourced from:

- **University Trust/Endowment Funds:** Special allocations may be made annually to support research and incubation activities.
- **Government Agencies:** Grants, fellowships, and support programs offered by bodies such as DST, DBT, AICTE, MSME Ministry, Startup India, Atal Innovation Mission (AIM), BIRAC, and others.
- **Non-Governmental Organizations and Foundations:** Funding for social innovation, environmental sustainability, rural development, healthcare, education, and community-based projects.
- **Corporate CSR Initiatives:** Corporations may fund specific research or innovation themes as part of their Corporate Social Responsibility programs.
- **International Agencies:** Funding through international research grants, development agencies, or global competitions promoting innovation.

9.3 Funding Mechanisms

Funding may be provided through:

- **Seed Funding Grants:** For early-stage ideas, proof-of-concept, or prototype development.
- **Project-Specific Grants:** Funding tied to specific research deliverables and timelines.
- **Challenge/Competition Grants:** Prize money or grants awarded through innovation challenges, hackathons, and competitions.

- **Fellowships and Scholarships:** Personal grants for innovators, research scholars, and start-up founders.
- **Equity or Convertible Funding:** In some cases (especially for start-ups), funding may be linked to a minor equity shareholding or convertible terms.
- **Matching Funds:** Where external grants require institutional matching, SRIC may offer support based on merit and budget availability.

9.4 Accountability and Reporting

- The recipient must comply with all reporting obligations, audits, and impact assessments required by the funding agency or the SRIC.
- Any unutilized or misused funds must be returned or will trigger legal and disciplinary actions.
- Intellectual Property created using such funding will be governed by the SRIC and University IPR policies, unless otherwise specifically agreed with the funding agency.

9.5 Recognition

- Appropriate acknowledgment must be given to the funding organization in all project outputs, publications, presentations, or commercial products.

SRIC will maintain a database of all grants, funders, and outcomes for reporting to stakeholders and promoting further funding opportunities.

10. Intellectual Property Rights (IPR)

- IP created using Centre facilities will be jointly owned by the innovator(s) and the university unless otherwise agreed.
- Revenue from commercialization will be shared as per the University's IPR Policy.
- Proper disclosure and registration processes must be followed.

11. Code of Conduct

Participants are expected to:

- Maintain ethical standards in research and innovation.
- Respect confidentiality, non-disclosure, and data protection agreements.
- Use resources responsibly and only for approved purposes.

- Acknowledge the Centre and University in publications and presentations.

Violation of the Code may lead to termination of participation and appropriate disciplinary action.

12. Duration and Exit Policy

- The standard engagement period is one year, extendable upon review.
- Participants must submit periodic progress reports.
- Exit upon project completion, start-up launch, or termination by mutual consent.
- On exit, participants must return any SRIC equipment and clear all obligations.

13. Monitoring and Evaluation

- Regular evaluation of progress against defined milestones.
- Annual review of projects and incubation outcomes.
- Feedback from participants to continually improve Centre operations.

14. Policy Review

This policy will be reviewed and updated periodically by the SRIC Advisory Board to adapt to evolving research and innovation needs.